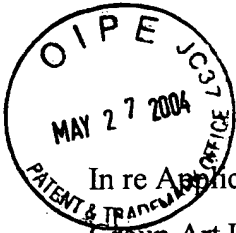


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ATTORNEY DOCKET NO.  
11321-P034D1

PATENT  
APPLICATION NO. 10/755,490



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.

Group Art Unit: 1713

Serial No.: 10/755,490

Filed: January 12, 2004

Title: POLYMER-WRAPPED SINGLE-WALL CARBON  
NANOTUBES

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement along with attached SB/08A-B (Form 1449) and references, are being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on May 25, 2004

GRACIE SOLIS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicant submits herewith patents, publications or other information of which it is aware, which it believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

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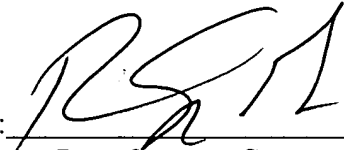
**PATENT**  
**APPLICATION NO. 10/755,490**

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Also in accordance with 37 C.F.R. § 1.98(a)(2)(i), no copies of U.S. patents and pending applications identified on the attached Form PTO-1449 are required for all U.S. patent applications filed after June 30, 2003. Therefore, only copies of foreign patent documents and non-patent literature referenced on the attached Form PTO-1449 are submitted herewith.

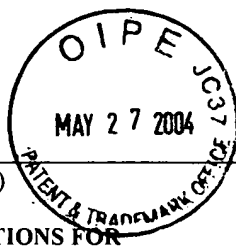
Applicant believes that no fee is due at this time. However, the Commissioner is hereby authorized to credit any overpayment or charge for inadvertently omitted fees to Deposit Account No. 23-2426 (11321-P034D1).

Respectfully submitted,

By:   
\_\_\_\_\_  
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11321-P034D1 05/24/2004



In Place of FORM PTO-1449 (Modified)

Serial Number: 10/755,490  
 Applicants: Richard E. Smalley et al.  
 Filing Date: January 12, 2004  
 Group: 1713  
 Atty. Docket Number: 11321-P034D1

**LIST OF PATENTS AND PUBLICATIONS FOR  
 APPLICANTS' INFORMATION DISCLOSURE  
 STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
____AAA	6,576,341 B1	06/10/2003	Davey et al.	428	376	
____ABA	5,653,996	08/05/1997	Hsu	424	450	
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____ADA	5,560,898	10/1/1996	Uchida et al.	423	461	
____AEA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
____AFA	EP 0 949 199 A1	10/13/1999	Europe			
____AGA	EP 0 989 579 A2	03/29/2000	Europe			
____AHA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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Examiner:

Date Considered:

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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11321-P034D1 05/24/2004